



# THEORY OF MACHINE LEARNING

## LECTURE 22

NTK SUMMARY, REPRESENTATION LEARNING

# ANNOUNCEMENTS

- Homework 3 due on Monday April 11
- Project presentations: starting in two weeks! (~18 projects)
  - Dates: April 19, 22, 26 (5 projects /class), couple online
- This week and next: representation learning, robustness

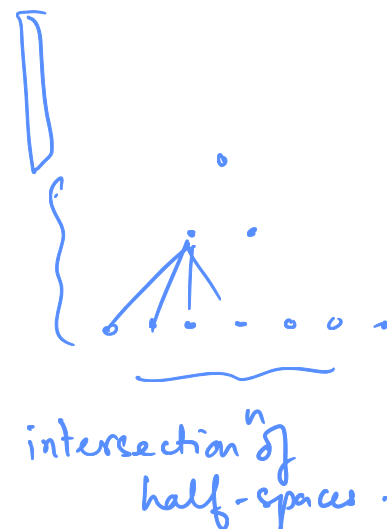
## LAST WEEK

$$\begin{aligned} f(A^{(1)}) &= b_1 \\ f(A^{(2)}) &= b_2 \end{aligned}$$

$$A^{(1)} \begin{bmatrix} \text{---} \\ A \\ \text{---} \end{bmatrix} \begin{bmatrix} x \\ \vdots \end{bmatrix} \approx b$$

- Learning NNs is hard, often done via gradient descent

- Topics skipped - "strongest" hardness results based on crypto [Klivans, Sherstov] (hardness of improper learning)



- Analyzing gradient descent:

(for NNs)

- Can one analyze dynamics of gradient descent? [can view as Kernel regression for a time-varying kernel] re-phrasing.
- Are there cases where we can reason about resulting solution? [for infinitely wide nets, kernel remains "fixed" - neural tangent kernel]

Law of Large Numbers (Concentration bounds)

# NTK REVIEW

**Theorem.** [Jacot, Gabriel, Hongler 18] [Arora, et al. 2019] A width  $\sim n^3$  network (any number of layers) trained via GD from random initialization achieves zero training error. Moreover, the final solution is equivalent to solving a "Kernel regression" problem with a specific kernel.

$f$ .  $K(x, y)$ : similarity between  $x, y$ .

hypotheses of the form:  $\hat{f}(x) = \sum_{i \in \text{training samples}} \alpha_i K(x, x_i)$   
↓  
i-th training sample.

- Kernel regression ✓

- Any model training can be viewed as Kernel regression with time varying kernel

- With wide DNNs, kernel doesn't change much!

★ (GD with tiny step size).

(Can be used to show that if width  $\gg$  |training data|, then NN training via GD converges to kernel regression with NTK.)

# NTK EXPERIMENTS

$$k(x, y) = e^{-\|x-y\|^2}$$

*Handwritten notes:*  $\frac{1}{2}$  (under  $\|x-y\|^2$ ),  $\frac{1}{2}$  (under  $\|x-y\|^2$ ),  $\frac{1}{2}$  (under  $\|x-y\|^2$ ),  $\frac{1}{2}$  (under  $\|x-y\|^2$ ),  $\frac{1}{2}$  (under  $\|x-y\|^2$ ).

[Arora, et al. 2019] What happens if we forget about NNs, compute closed form for NTK (determined only by number of layers, types of connections, activation function), perform kernel regression?

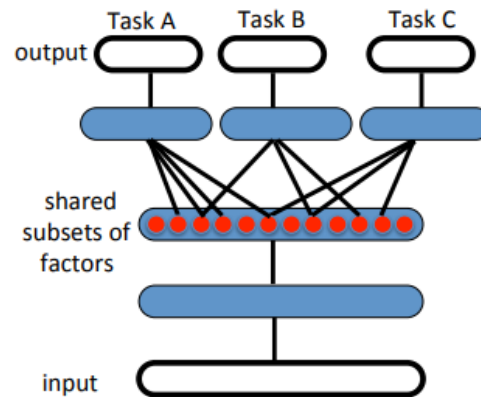
*"vanilla" nn.*

Depth	CNN-V	CNTK-V	CNN-GAP	CNTK-GAP
3	61.97%	64.67%	57.96%	70.47%
4	62.12%	65.52%	80.58%	75.93%
6	64.03%	66.03%	80.97%	76.73%
11	70.97%	65.90%	75.45%	77.43%
21	80.56%	64.09%	81.23%	77.08%

CIFAR-10.

# REPRESENTATION LEARNING

- General idea - neural networks are "hierarchical feature extractors"
- Circa 2000s - manual feature extraction (HOG, SIFT)
- NNs embed inputs  $\rightarrow$  "feature space" (alternative 'representation')



layered NN  $\rightarrow$  builds iterative representations of data.

$\rightarrow$  "related" tasks

$\rightarrow$  Caption generation.

$\rightarrow$  [Bengio, et al. 2012]

Fig. 1. Illustration of representation-learning discovering explanatory factors (middle hidden layer, in red), some explaining the input (semi-supervised setting), and some explaining target for each task. Because these subsets overlap, sharing of statistical strength helps generalization.

# REPRESENTATION LEARNING

- features  $\leftrightarrow$  representation.  
~~sparse~~ embedding
- What makes a good representation?
    - Contrastive (for classification)  $\rightarrow$  in this "feature space", inputs get clustered based on class.
    - "Disentangled" or orthogonal
    - Sparse "explanations" for phenomena
    - Hierarchically organized, explanatory
    - robustness...
  - Supervised vs Unsupervised
    - animal
    - household object
    - boat
    - Word embeddings in NLP.
    - Graph vertex embeddings.
- classification.
- no task
- basis in which inputs have a "sparse" representation.
- Crucial if we want to do multiple tasks with same representation.
- 

# REPRESENTATION LEARNING

- Unsupervised learning of representation

given data ; no task ;  
no labels .

- Sparse coding / autoencoders (past)
- Self-supervision (present/future)

"Meta qn": ~~What~~ Want to "understand" data ...

Formalizing: find common patterns / compression.  
succinct representation.

Sparse Coding: Is there a "basis" for data in which all the data points are ~ sparse?  
(in the lin. alg. sense).



Inputs:  $x_1, x_2, \dots, x_N \in \mathbb{R}^d$ .

Can you find a "basis", i.e.,  $v_1, v_2, \dots, v_m \in \mathbb{R}^d$  such that every  $x_i \approx \sum_j \alpha_j^{(i)} v_j$ , for some "sparse"  $\alpha^{(i)}$ ?  
(at most  $k$  are non-zero).

# parameters in "input rep":  $dN$

# parameters in "new" rep:  ~~$kN + md$~~

$kN + md$   
↓  
coeffs.

Want  $kN + md \ll dN$ .

JPEG: based on ~ ideas.-

→ layerwise unsupervised pre-training (2013-).