

# Advanced Algorithms

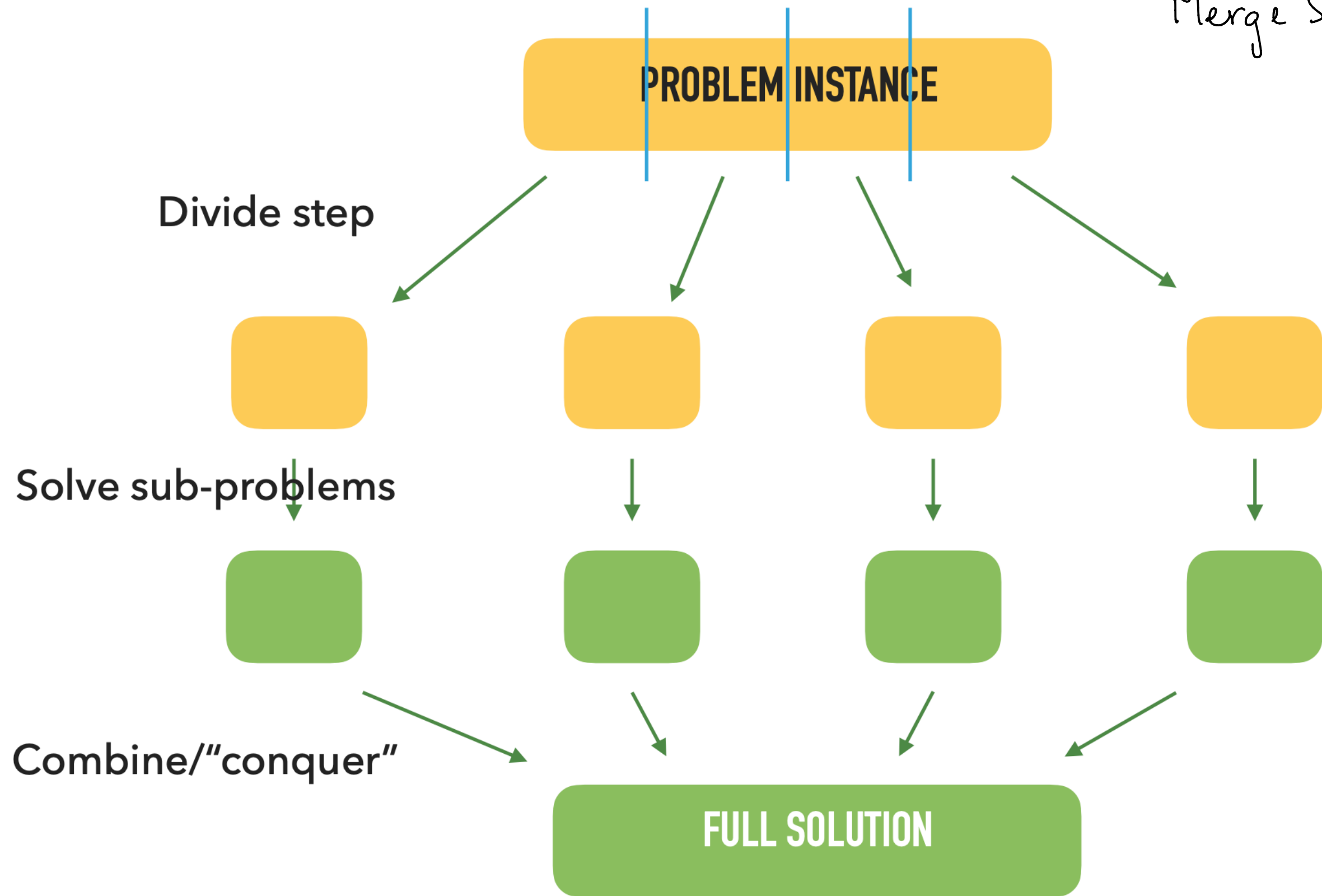
Lecture 4: Divide and Conquer, Recurrences

# Announcements

- HW 1 is out — start early!
- HW 0 feedback → 2pm - 3pm
- *Video for last lecture* (hopefully by tomorrow).

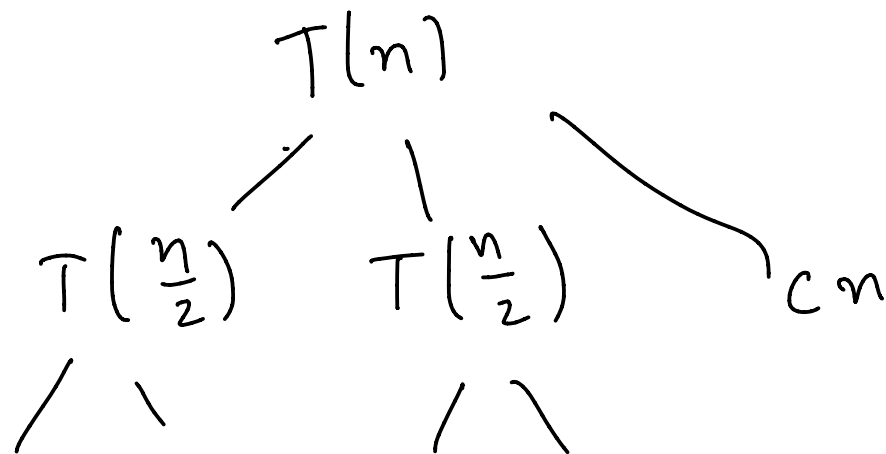
Example last class:

Merge Sort.



# Last class

- Merge sort — detailed proof of correctness via induction
- Running time via “recurrence relation”  $T(n) = 2T(n/2) + cn$



...

# Recurrences

- General form:  $\overbrace{f(n)} = \text{function} (n, f(1), \dots, f(n-1))$
- Finding a “closed form” can be challenging
  - no silver bullet
  - many “techniques” — recursion tree, plug-and-chug, guess-and-prove, master theorem, Akra-Bazzi theorem, ...
  - personal favorites... 

See notes.

Plug-n-chug:  $T(n) = 2T(n/2) + cn$

The diagram shows a horizontal line representing an array of size  $n$ . It is divided into two segments of size  $n/2$  by a vertical line. Each  $n/2$  segment is further divided into two segments of size  $n/4$  by vertical lines. This process continues, with each  $n/4$  segment being divided into two segments of size  $n/8$ . The total cost of the recursive splitting is indicated by the label  $cn$  at the end of the line.

Base case:  $T(1) = 1$

$$\underline{T(n)} = cn + 2T(n/2)$$

$$= cn + 2 \left[ \underbrace{c \cdot \frac{n}{2}} + 2T\left(\frac{n}{4}\right) \right] = cn + cn + 4T\left(\frac{n}{4}\right)$$

$$= cn + cn + 4 \left[ c \cdot \frac{n}{4} + 2T\left(\frac{n}{8}\right) \right] = cn + cn + cn + 8T\left(\frac{n}{8}\right)$$

$$= \dots = \underline{r \cdot cn + 2^r T\left(\frac{n}{2^r}\right)}$$

To reach the base case, set  $r = \log_2 n$ ;  $cn \log_2 n + n \cdot T(1)$

$$T(n) = cn \log_2 n + n$$

Generative Functionology ← "generative functions" - Wilf  $c \geq 1$

Guess-n-prove:  $T(n) = 2T(n/2) + cn$

Start with the guess that  $\boxed{T(n) \leq 2c \cdot n \log(2n)} \rightarrow (*)$

$$\geq \frac{c}{2} n \log n$$

Proof by induction: for  $n=1$ ;  $T(1)=1 \rightarrow$  true in  $(*)$ .

Inductive step: assume that  $(*)$  holds for all  $n < N$ ;

& then prove for  $n=N$ .

$$T(N) = 2T\left(\frac{N}{2}\right) + cN \leq 2 \left[ 2c \cdot \frac{N}{2} \log N \right] + cN$$

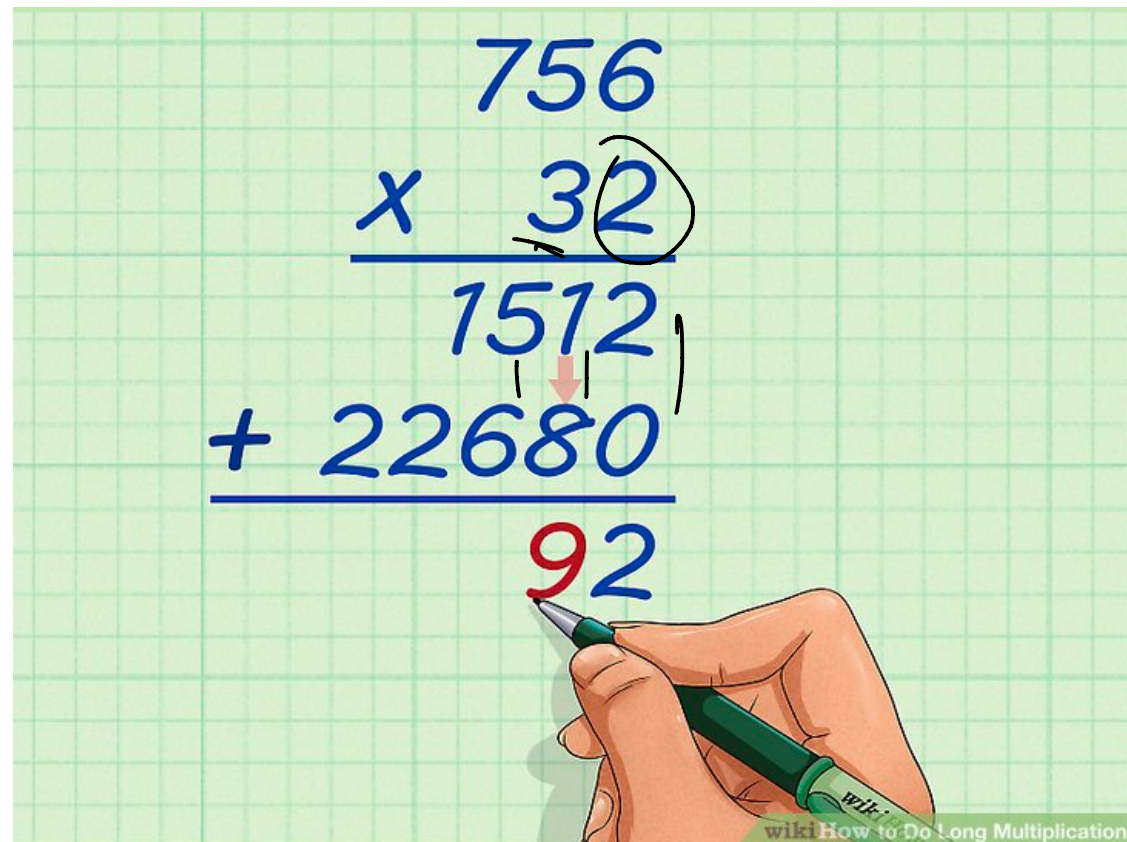
$$= 2cN \log N + cN \leq 2cN (\log N + 1) = 2cN \log(2N)$$

# Example: integer multiplication



**Problem:** given two  $n$ -digit numbers  $a = a_1 a_2 \dots a_n$ , and  $b = b_1 b_2 \dots b_n$ , find the product  $a * b$ .

# Elementary school algorithm



$$752 \times (30 + 2)$$

≡

$$752 \times (2)$$

$$752 \times (3) \times 10$$

$$a_1 \ a_2 \ \dots \ a_n$$

$$\times \ b_1 \ b_2 \ \dots \ b_n$$

$O(n^2)$  time.



$$\left\{ \begin{array}{cccc} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & - & - \end{array} \right\} \begin{array}{c} \uparrow \\ \uparrow \end{array} \begin{array}{c} \uparrow \\ \uparrow \end{array} \begin{array}{c} c_{1n} \\ c_{2n} \end{array} \quad n$$

# Divide and conquer?

"Idea": Can we use mult. of  $\frac{n}{2}$ -digit #'s to get prod. of  $n$ -digit #'s.

$$a = \left[ \begin{array}{c|c} A_1 & A_2 \\ \hline n/2 & n/2 \end{array} \right] = A_1 \cdot 10^{n/2} + A_2$$

$$b = \left[ \begin{array}{c|c} B_1 & B_2 \\ \hline n/2 & n/2 \end{array} \right] = B_1 \cdot 10^{n/2} + B_2$$

$$a \cdot b = \left[ A_1 B_1 \cdot 10^n + (A_1 B_2 + A_2 B_1) 10^{n/2} + A_2 B_2 \right]$$

To compute this "combine" step, we have 4 add ops each involving  $\approx 2n$  digits.  $\rightarrow O(n)$  time.

Know that base case is  $T(1)=1$

Recurrence:  $T(n) = 4T\left(\frac{n}{2}\right) + c \cdot n$

$$T(n) = cn + 4T\left(\frac{n}{2}\right) \equiv cn + 4\left[c \cdot \frac{n}{2} + 4T\left(\frac{n}{4}\right)\right]$$

$$= cn + 2cn + 4^2 \cdot T\left(\frac{n}{2^2}\right)$$

$$= cn + 2cn + 4^2 \left[ c \cdot \frac{n}{2^2} + 4T\left(\frac{n}{2^3}\right) \right]$$

$$= cn + 2cn + 2^2 \cdot cn + 4^3 T\left(\frac{n}{2^3}\right) = \dots$$

$$= \underbrace{cn + 2cn + \dots}_{\cdot} + 2^{r-1} \cdot cn + 4^r T\left(\frac{n}{2^r}\right)$$

Again, set  $r = \log_2 n$ .

$$\frac{n}{2^r} = 1 \Leftrightarrow r = \log_2 n$$

$$\begin{aligned} T(n) &= \underbrace{cn + 2cn + \dots + 2^{r-1} \cdot cn}_{\text{'''}} + \underbrace{4^r T(1)}_{\text{''' } 2^{2r}} \\ &= cn(2^r - 1) + (2^r)^2 \\ &= cn \cdot (n-1) + n^2 \\ &= \Theta(n^2) \end{aligned}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + cn$$

$\parallel$   
 $n \log n$

$$T(n) = 4T\left(\frac{n}{2}\right) + cn$$

$\parallel$   
 $n^2$

$$\log_2 3$$

$\parallel$   
 $n^{1.58\dots}$

# Better algorithm?

Karatsuba's idea:  $(A_1 \cdot 10^{n/2} + A_2)(B_1 \cdot 10^{n/2} + B_2)$

need:  $\frac{A_1 B_1}{\sqrt{\quad}}$  ;  $\frac{A_2 B_2}{\sqrt{\quad}}$  and  $\frac{(A_1 B_2 + A_2 B_1)}{\sqrt{\quad}}$

$$\left. \begin{array}{l} (A_1 + A_2)(B_1 + B_2) \\ (A_1 - A_2)(B_1 - B_2) \end{array} \right\} \rightarrow \begin{array}{l} A_1 B_1 + A_2 B_2 \\ \& A_1 B_2 + A_2 B_1 \end{array}$$

$$A_1 B_1$$

$$T(n) = \underset{\substack{\uparrow \\ 4}}{3} T\left(\frac{n}{2}\right) + c \cdot n$$

# Median/order finding

**Problem:** given  $n$  (distinct, unsorted) integers  $A[0], A[1], \dots, A[n-1]$  and parameter  $k$ , find the  $k$ 'th smallest integer

- An easy bound?
- Divide and conquer?

# Almost-median

**What if...** for any array of size  $n$ , we have a procedure that:

(a) runs in  $O(n)$  time, and

(b) returns an element that is an “almost median”, i.e., it is the  $k$ 'th largest in the array, for  $n/4 < k < 3n/4$

# Recurrence fun

Ackermann functions



$$T(m, n) \equiv f_n \{ T(m-1, n-1), \dots$$

$$T(1) = 1.$$

$$\leadsto T(n) = \underline{T(n-1) + T\left(\frac{n}{2}\right) + 1} - \textcircled{\text{I}}$$

Fibonacci:

$$T(n) = T(\underline{n-1}) + T(\underline{n-2}) ; T(0) = T(1) = 1$$

$$(1.61\dots)^n$$

$$\sim \phi^n$$

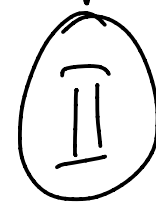


... golden ratio..

$$> 2T(n-2)$$

$$> 2^2 T(n-4) \dots$$

$$> 2^{n/2}$$



$$T(n) = T(n-1) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1 \quad T(1) = 1$$

$$= T(n-2) + T\left(\left\lfloor \frac{n-1}{2} \right\rfloor\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 2$$

$$= T(n-3) + T\left(\left\lfloor \frac{n-2}{2} \right\rfloor\right) + T\left(\left\lfloor \frac{n-1}{2} \right\rfloor\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 3$$

$$= \vdots$$

Can  $T$  be  $cn$ ?

$$\text{RHS: } c(n-1) + c \cdot \frac{n}{2} + 1 > cn$$

$$\left\{ \underline{c \cdot n^{10}} \right\} ? \quad ; \text{ RHS: } \underbrace{c(n-1)^{10} + \left(\frac{n}{2}\right)^{10} + 1}_{n^{10} - 10n^9 + \dots}$$