

**Academic Senate Executive Cabinet
November 19, 2018
Office of Athletics Compliance Report**

General Office Information

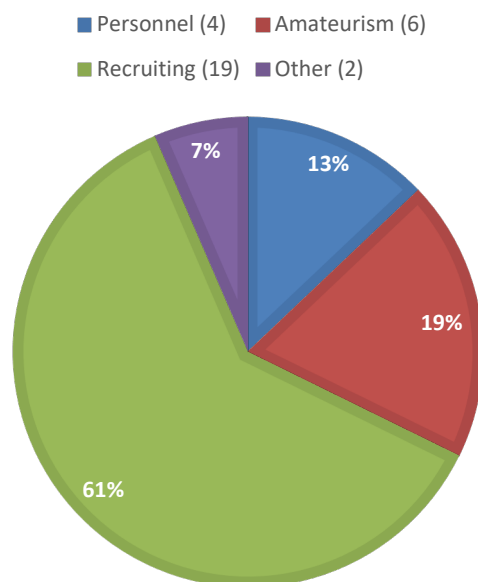
- The Associate Athletics Director for Compliance oversees the Office of Athletics Compliance (OAC) and has a dual reporting structure to the Athletics Director and the Office of General Counsel.
- The OAC has four full-time staff members, one intern, and one staff member who works in the OAC but reports to the Registrar's Office.
- The OAC is responsible for maintaining compliance with NCAA and Pac-12 rules by investigating and reporting rules violations, requesting relief from rules in unique situations (waivers), and providing rules education to various groups.

NCAA Violations

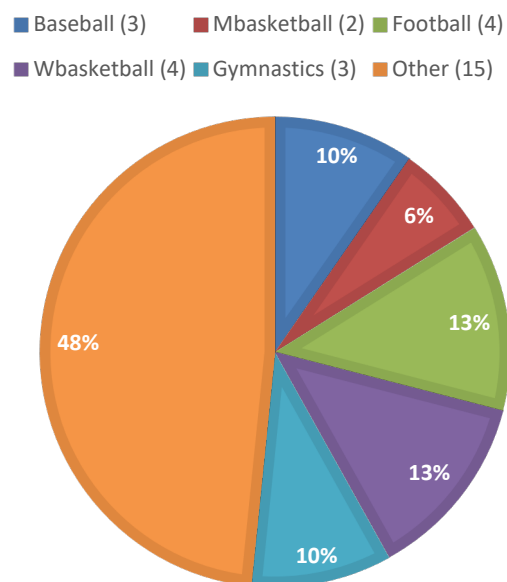
- The NCAA has established a three-tier penalty structure for violations of NCAA rules.
 - Level III: Inadvertent, isolated, and/or limited violations, which often carry minimal penalties and require rules education.
 - Level II: Systematic violations, which carry significant penalties that can include postseason competition bans, financial penalties, scholarship reductions, recruiting restrictions, and head coach suspensions.
 - Level I: Egregious violations, which carry Level II violation penalties in greater measure.
- Most University violations in the last four years have been considered Level III violations.

YEAR	VIOLATIONS
14-15	22
15-16	17
16-17	30
17-18	31

2017-18 Violations by Bylaw



2017-18 Violations by Sport

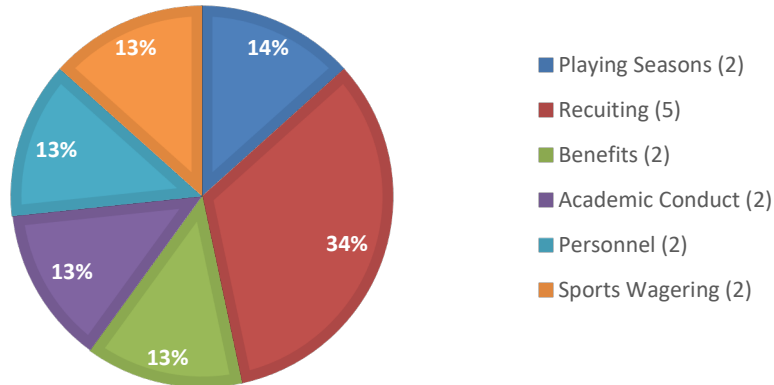


Institutional Investigations

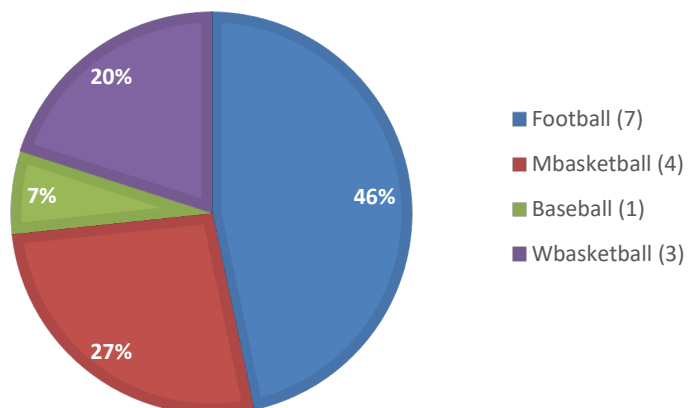
- 15 investigations were conducted during the 2017-2018 academic year.
- The most from any one program involved the sport of football (7 investigations).
- Investigations involved alleged violations in the areas of extra benefits, playing and practice seasons, recruiting, personnel, academic conduct, and sports wagering.
- Of the matters investigated, one Level II infraction was discovered and one investigation is pending.

YEAR	INVESTIGATIONS
14-15	19
15-16	25
16-17	20
17-18	15

2017-18 INVESTIGATIONS BY TOPIC



2017-18 INVESTIGATIONS BY SPORT



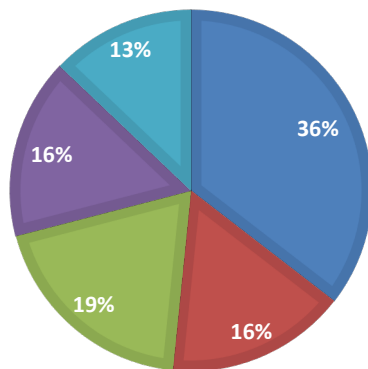
Waivers

- Waivers are requests by the institution asking for relief from the application of rules in unique situations.
- Over 80% success rate.

YEAR	WAIVERS
14-15	34
15-16	37
16-17	31
17-18	31

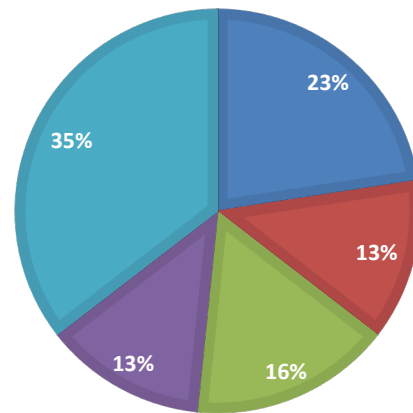
2017-18 Waivers by Bylaw

■ Amateurism (11) ■ Recruiting (5)
■ Academic Eligibility (6) ■ Playing Seasons (5)
■ Other (4)



2017-18 Waivers by Sport

■ MFB (7) ■ WBB (4) ■ WGY (5)
■ SWIM (4) ■ Other (11)



Educational Program

- The OAC provides NCAA and Pac-12 rules education to Athletics Department staff, coaches, student-athletes, University entities, and representatives of the institution's athletics interests.
- Athletics Department staff members are required to attend in-person rules education sessions throughout the academic year: Staff must attend at least four sessions and coaches must attend at least eight sessions. Student-athletes receive monthly education.
- The schedule and topics of rules education sessions are based on new rules, previous year's violations, items of concern, and nationally significant issues.
- During 2017-2018, the OAC conducted 143 formal education sessions, a 24% increase from 2016-2017.

NCAA Probation

- A preliminary report setting forth a schedule for establishing an education program and system of monitoring personnel was submitted to the NCAA Office of the Committees on Infractions on July 10, 2018.
- A final report demonstrating the institution's progress in fulfilling corrective actions and penalties imposed as a result of the infraction will be submitted by March 31, 2019.
- A comprehensive, year-long plan was developed for in-person and written education for the University, Athletics Department, student-athletes, and boosters.
- A comprehensive monitoring calendar was developed for in-person practice and contest observations.