

**Academic Senate
November 6, 2017
Office of Athletics Compliance Report**

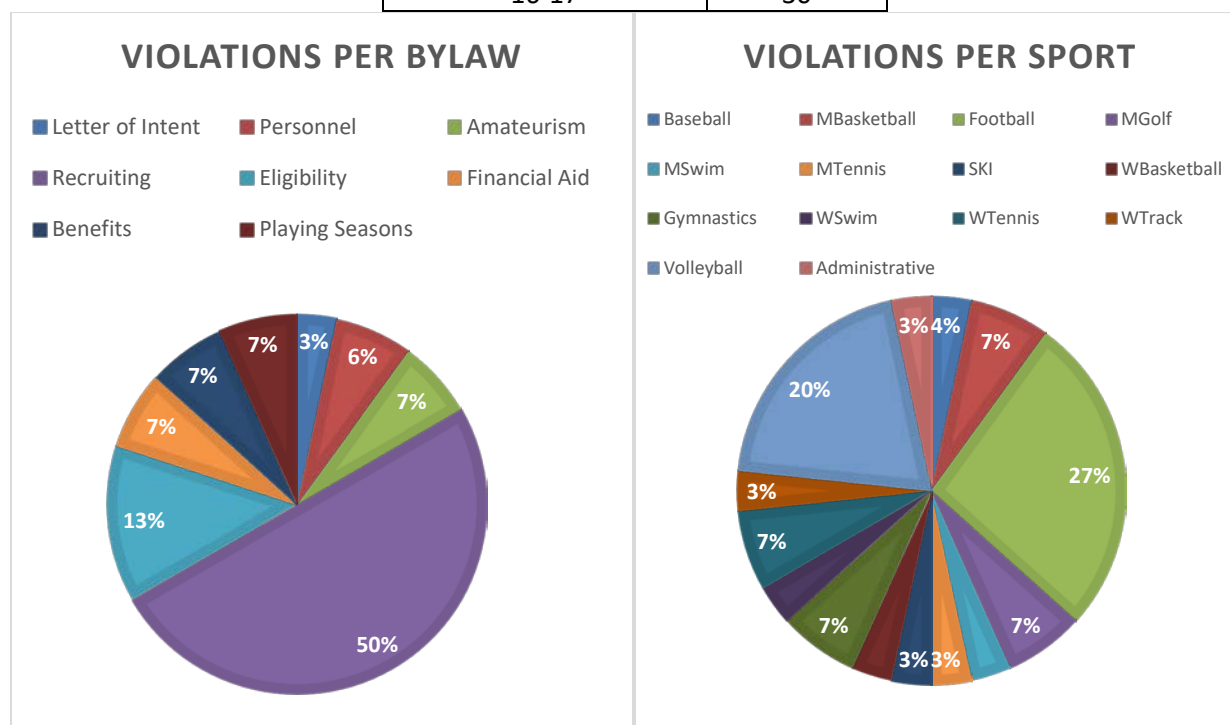
General Office Information

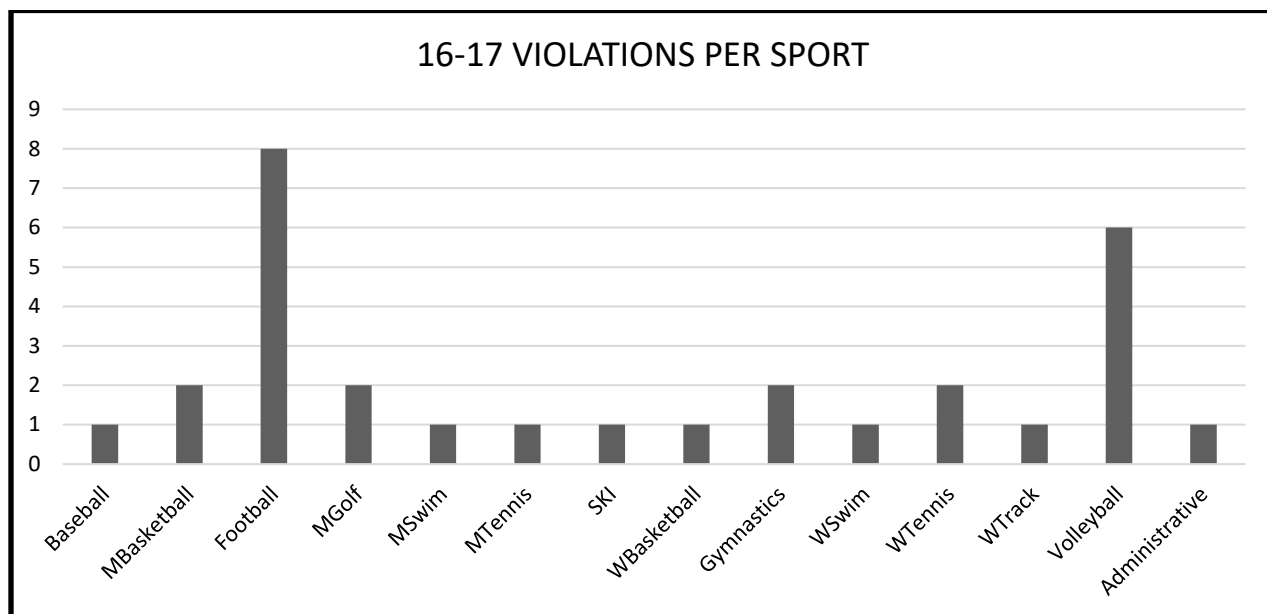
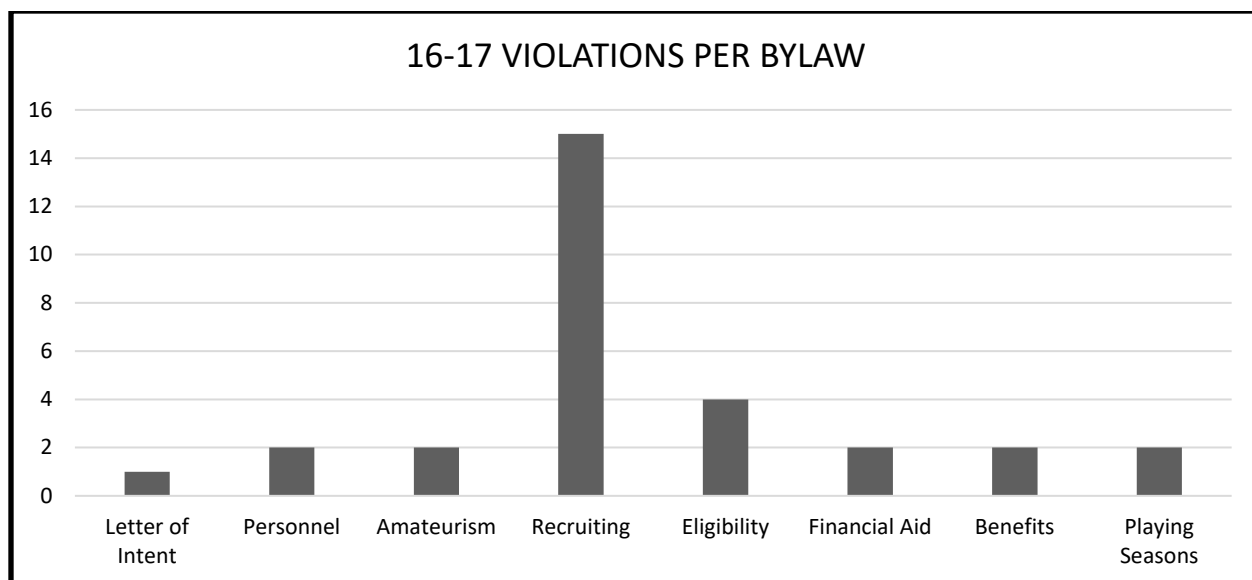
- The Associate Athletics Director for Compliance oversees the Office of Athletics Compliance (OAC) and has a dual reporting structure to the Athletics Director and the Office of General Counsel.
- The OAC has four full-time staff members, one intern, and one staff member who works in the OAC but reports to the Registrar's Office.
- The OAC is responsible for maintaining compliance with NCAA and Pac-12 rules by investigating and reporting rules violations, requesting relief from rules in unique situations (waivers), and providing rules education to various groups.

NCAA Violations

- An "NCAA violation" is when an NCAA rule is not followed appropriately or an action is contrary to the intent or rationale of an NCAA rule.
- The NCAA has moved from a four-tier penalty structure to a three-tier penalty structure.
 - Level III: Inadvertent, isolated, and/or limited violations, which often carry minimal penalties and require rules education.
 - Level II: Systematic violations, which carry significant penalties that can include postseason competition bans, financial penalties, scholarship reductions, recruiting restrictions, and head coach suspensions.
 - Level I: Egregious violations, which carry Level II violation penalties in greater measure.
- All University violations in the last four years have been considered Level IV or Level III violations.

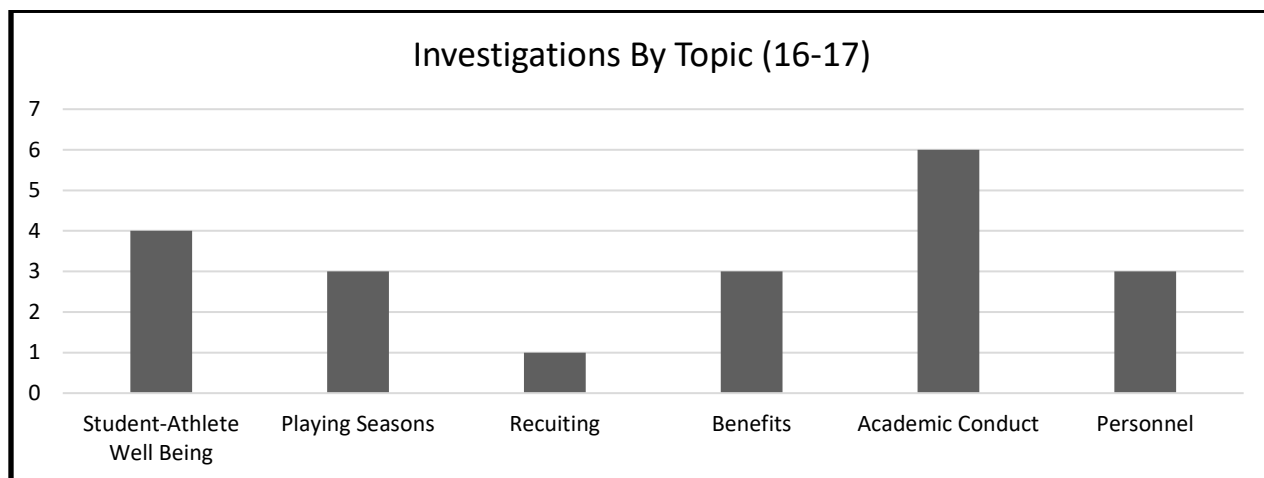
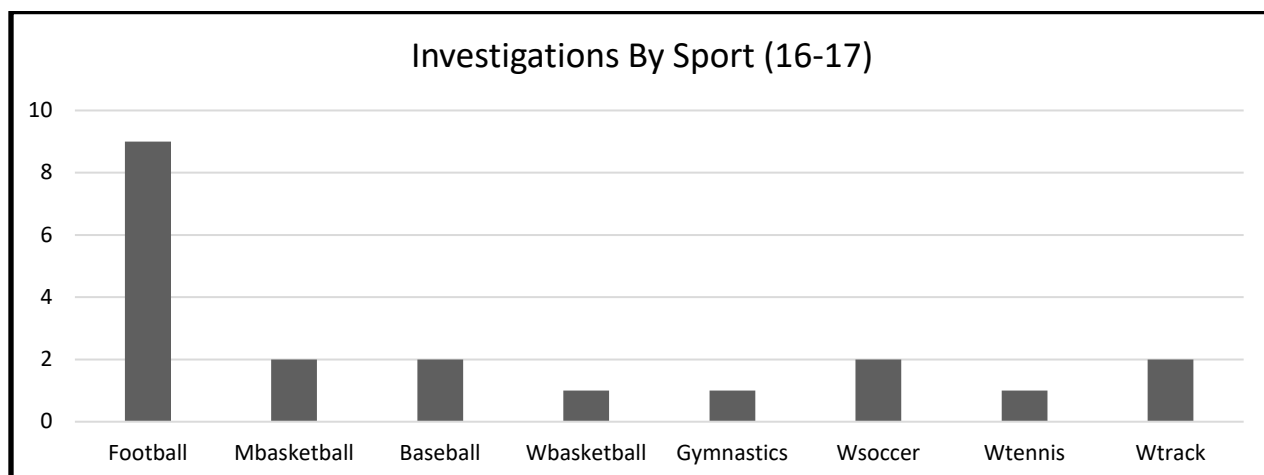
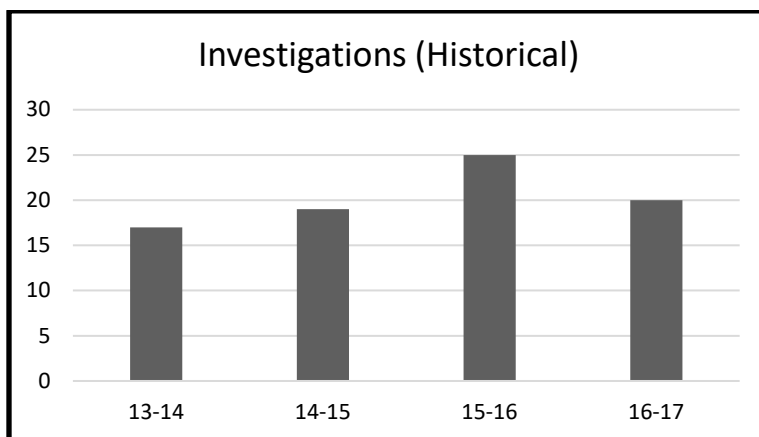
YEAR	VIOLATIONS
13-14	21
14-15	22
15-16	17
16-17	30





Institutional Investigations

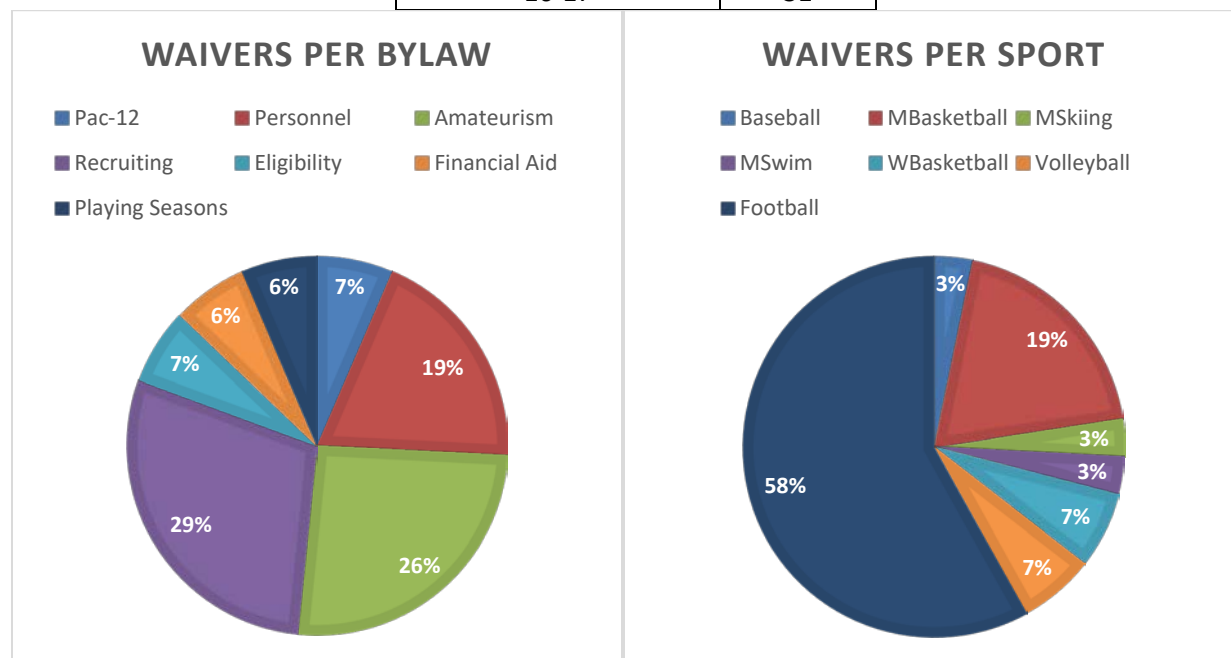
- 20 investigations were conducted during the 2016-2017 academic year.
- The most from any one program involved the sport of football (9 investigations).
- Investigations involved alleged violations in the areas of extra benefits, practice hours, athletics camps, recruiting, personnel, academic conduct, and student-athlete health and safety.
- Investigations that have concluded did not result in rules violations. There are two investigations that have not yet been resolved.

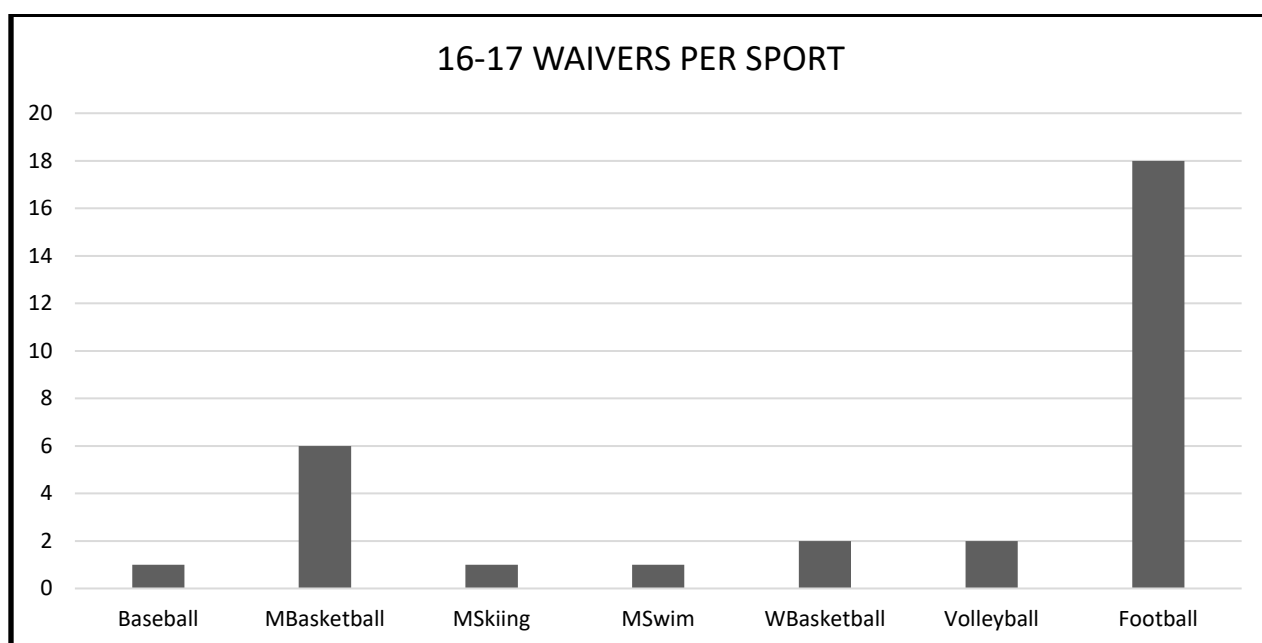
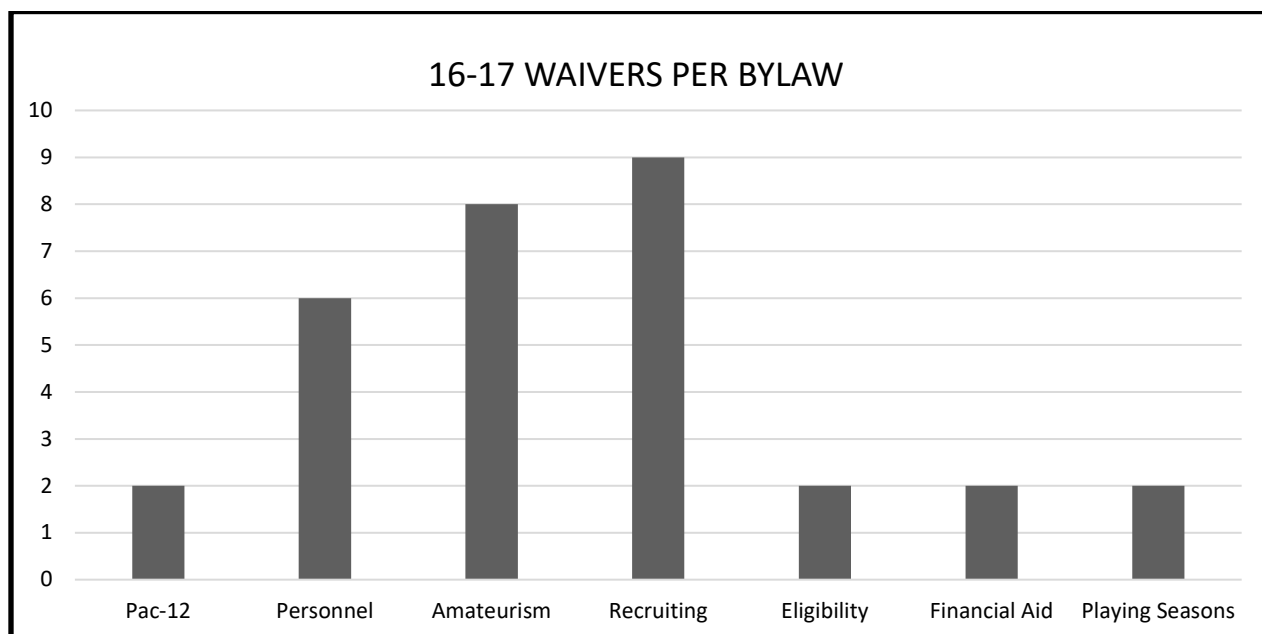


Waivers

- Waivers are requests by the institution asking for relief from the application of rules in unique situations.
- Over 80% success rate.

YEAR	WAIVERS
13-14	37
14-15	34
15-16	37
16-17	31





Educational Program

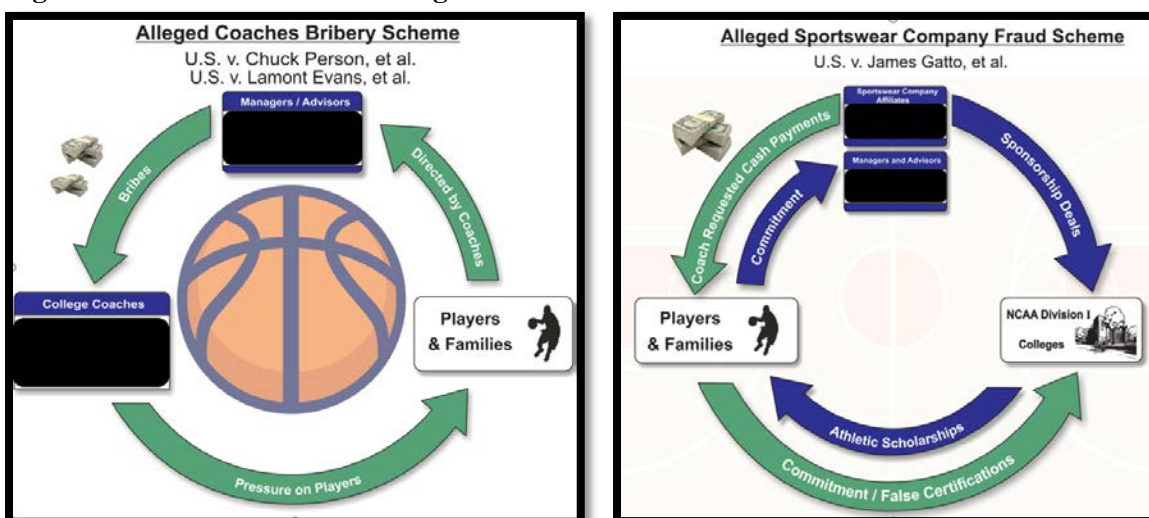
- The OAC provides NCAA and Pac-12 rules education to Athletics Department staff, coaches, student-athletes, university entities, and representatives of the institution's athletics interests.
- Athletics Department staff members are required to attend rules education sessions throughout the academic year: staff and student-athletes must attend at least three sessions and coaches must attend at least six sessions.
- The schedule and topics of rules education sessions are based on new rules, previous year's violations, items of concern, and nationally significant issues.
- During 2016-2017, the OAC conducted 115 formal education sessions, a 42% increase from 2015-2016.

NCAA Academic Misconduct Focus

-Athletics Academic Improvements

- Updated policies and procedures manual as well as staff forms.
- Created a tutor training manual and an academic assistance set of guidelines.
- Student-athletes are restricted from completing quizzes and tests in any athletics facility, including the academic building.

College Men's Basketball FBI Investigation



- NCAA Board of Governors and the Division I Board of Directors has mandated each institution conduct a review of the conduct of the men's basketball coaching staff and administrators as well as the eligibility status of all men's basketball student-athletes.
- The OAC will conduct a review that will include an examination of documentation, recruiting efforts, and student-athlete pre-enrollment athletics participation.
- Interviews of staff and student-athletes may be conducted.